

1 **Article**

2 **Mass Screening for SARS-CoV-2 uncovers significant**
3 **transmission risk from asymptomatic carriers**

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27 **Abstract**

28 **Background** To accompany the lifting of COVID-19 lockdown measures, Luxembourg
29 implemented a mass testing programme. The first phase coincided with an early summer
30 epidemic wave.

31 **Methods** High-throughput rRT-PCR was performed using a validated pooling strategy. The
32 sampling infrastructure allowed the testing of the resident and cross-border worker populations.
33 Test strategy was based on social connectivity within different activity sectors. Invitation
34 frequencies were tactically increased in sectors and regions with higher prevalence. The results
35 were analysed alongside contact tracing data.

36 **Findings** Sensitivity and specificity of the test protocol were 100%. The tests covered 49% of
37 the resident and 22% of the cross-border worker populations. The programme identified 850
38 index cases with an additional 249 cases resulting from contact tracing, corresponding to 26%
39 of positive cases of the epidemic wave. Enrichment in positive cases was observed in the
40 services (11·4% increase over the mean prevalence), hospitality (8·6%) and construction
41 (6·6%) sectors alongside regional differences. Strikingly, cases that were asymptomatic on the
42 day of the positive result had a similar secondary attack rate in the household compared to those
43 who were symptomatic. Based on simulations using a tailored agent-based SEIR model, the
44 total number of expected cases would have been 39·1% higher without the mass screening
45 programme. Mandatory participation would have resulted in a further difference of 41·4%.

46 **Interpretation** The implementation of strategic and tactical mass testing for SARS-CoV-2
47 allows the breaking of nascent infection chains and the suppression of epidemic dynamics.
48 Asymptomatic carriers are at least as infectious as symptomatic patients. Containment of future
49 outbreaks will critically depend on early testing in sectors and geographical regions. Higher
50 participation rates must be assured through targeted incentivisation and recurrent invitation.

51 **Funding** This project was funded by the Luxembourg Ministries of Higher Education and
52 Research, and Health.

53

54 **Keywords:** Asymptomatic; contact tracing; COVID-19; mass screening; rRT-PCR; SARS-
55 CoV-2

56 **Research in context**

57 **Evidence before this study**

58 The COVID-19 pandemic imposes unprecedented challenges to governments and public health
59 officials in containing population-wide transmission of SARS-CoV-2. Mass screening has been
60 suggested as a means to systematically identify positive carriers including asymptomatic
61 individuals. It was for example applied early on in the pandemic in South Korea and
62 recommended on a national level for the United Kingdom. However, the design and
63 implementation of mass screening programmes imposes significant logistical, methodological
64 and data analysis challenges. Furthermore, recurrent questions over the effectiveness of such
65 programmes have been posed, especially over the role of asymptomatic carriers in triggering
66 and sustaining infection chains. To accompany the progressive lifting of lockdown measures,
67 Luxembourg implemented a mass screening programme covering its entire population.

68 **Added value of this study**

69 Our study presents the major results and lessons learned of the implemented population-wide
70 screening programme. Rather than perform mass screening in a single instance, the test strategy
71 was based on social connectivity within activity sectors. This allowed the programme to build
72 up practicable test capacity while ensuring coverage of specific at-risk sectors at high resolution
73 while the general population was screened at lower resolution. The screening meshes were
74 dynamically adjusted based on prevalence in the respective sectors and the population. Based
75 on a participation of 49 % amongst the resident population and 22 % amongst cross-border
76 workers, the mass screening allowed identification of 1,099 cases corresponding to 26% of
77 positive cases related to an early summer epidemic wave. We show that a population-wide
78 screening programme is able to contain the epidemic dynamics in close coordination with
79 classical contact tracing. Importantly, our study highlights that asymptomatic individuals were
80 at least as infectious as symptomatic patients.

81 **Implications of all the available evidence**

82 Our study confirms that mass screening via a pooled rRT-PCR strategy and contact tracing
83 allows the population-wide control of viral transmission. The finding that asymptomatic
84 individuals are similarly infectious as symptomatic patients implies that population-wide
85 containment strategies have to rely on mass screening to ensure the early breaking of infection
86 chains. Containment of future outbreaks will critically depend on early testing in work sectors
87 and geographical regions. Our analyses imply that a threshold of 150 positive cases per 100,000
88 per week should trigger the testing of entire groups. Higher participation rates have to be
89 assured through targeted incentivisation and recurrent invitations.

90 **Introduction**

91 The COVID-19 pandemic has created an unprecedented public health crisis with a deep impact
92 on health, social life, and the economy. Governments are devising new strategies to limit the
93 impact of the evolving pandemic on their healthcare systems and societies. In the absence of
94 wide-spread immunity, containment strategies are limited to testing and tracing.¹⁻³

95 In Spring 2020, it became clear that asymptomatic including presymptomatic carriers could
96 transmit the virus.^{4,5} Based on the estimated serial interval and incubation period, modeling
97 suggested that up to 44% (25-69%) of transmission occurred just before symptoms
98 appear.⁶ This, together with apparent overdispersion,⁷ drew into question classical pandemic
99 containment strategies. Relying on herd immunity was deemed unrealistic as population-wide
100 exposure in Luxembourg was estimated to be 2.1% in late April,⁸ so that population-wide
101 antibody surveys were not considered meaningful.

102 The Luxembourg Government imposed stringent lockdown measures in mid-March. The
103 gradual easing of restrictions was accompanied by a population-wide SARS-CoV-2 screening
104 programme aimed at pre-emptive breaking of infection chains. Our approach hinged on social
105 connectivity as the key parameter for the stratification of the population, independent of
106 COVID-19 symptoms, or potential contact with infected carriers. The number of expected
107 social interactions defined the testing frequency per activity sector. This design resulted in ‘grid
108 testing’ using differing mesh sizes, which were dynamically adjusted based on prevalence in
109 the respective sectors.

110 We show that the population-wide screening programme with a participation rate of 49% was
111 able to contain the epidemic dynamics in close coordination with classical contact tracing.
112 According to our data, asymptomatic SARS-CoV-2 carriers are as infectious as symptomatic
113 carriers. Therefore, the success of mass screening critically depends on broad participation and
114 incentivisation.

115 **Methods**

116 **Logistics**

117 To facilitate the sampling of the entire Luxembourg resident population along with cross-border
118 workers, a pre-analytic workflow with 17 drive- or walk-through sampling stations was
119 established. The analytical capacity reached up to 20,000 tests/day with a turnover of two
120 working days.

121 **Assay**

122 Real-time reverse transcription polymerase chain reaction (rRT-PCR) was performed using the
123 Fast Track Diagnostics (FTD)TM SARS-CoV-2 single well dual-target (ORF1ab, N gene) assay
124 (Fast Track Diagnostics, Esch-sur-Alzette, Luxembourg) for nucleic acids extracted from
125 oropharyngeal swabs.

126 To save time and costs, a pooling strategy involved mixing the samples from four different
127 individuals prior to RNA extraction and re-analyzing a positive pooled sample individually a
128 second time.⁹⁻¹⁵ The pooling strategy presented 100% sensitivity and 100% specificity (Suppl.
129 Note 1).

130 **Data source and population**

131 All confirmed positive cases of SARS-CoV-2 detected by rRT-PCR were reported on a
132 mandatory basis by the clinical laboratory and were automatically included in the contact
133 tracing management system of the Health Directorate (Ministry of Health, Luxembourg). Once
134 a new positive result was transmitted via secure electronic reporting to the Health Directorate,
135 the index case was contacted by phone typically on the same day. A health questionnaire
136 including typical COVID-19 symptoms (headaches, myalgia, fever, runny nose, sore throat,
137 cough, loss of smell, diarrhea, etc.) was completed. Positive cases were instructed to self-isolate
138 immediately and avoid contact with other household members. All high-risk contacts which
139 occurred within 48 hours before symptom onset (or before date of test if asymptomatic) were

140 encouraged to self-quarantine. A contact was considered high-risk if there was physical contact
141 or proximity (< 2 meters) to a case for at least 15 minutes without wearing a mask. For each
142 quarantined contact, a laboratory test was automatically prescribed on the 5th day after the date
143 of last contact. If the test was negative, the quarantine ended automatically on the 8th day and
144 was followed by 7 days of self-surveillance. If the test was positive, the person was contacted
145 again as a new positive case thereby starting the contact tracing procedure anew. If the contact
146 did not take a test before the 7th day, the period of quarantine was automatically extended by 7
147 days to a total of 14 days. The COVID-19 surveillance data was linked to the national database
148 managed by General Inspectorate of Social Security using the national identification number.
149 The personal data were available only to the contact tracing team and were transmitted in
150 aggregated, pseudonymised form to the authors.

151 **Statistical analyses**

152 The prevalences of SARS-CoV-2 infections were calculated using the *normcdf* function in
153 Matlab.

154 Two logistic regression models were built to identify the determinants associated with
155 participation in mass screening (Yes, No) and being tested positive (Yes, No). Models were
156 adjusted for the following variables: gender (Male, Female), 10-year age categories, country of
157 residence (Luxembourg, Belgium, France, Germany, Other), disposable income categories
158 ($<30\text{k€}/\text{year}$, $30\text{-}60\text{k€}/\text{year}$, $60\text{-}90\text{k€}/\text{year}$, $\geq 90\text{k€}/\text{year}$), number of total invitations to
159 participate in mass testing (for the model on participation only), medication use in the past 6
160 months to treat a chronic condition (Yes, No), risk population (high-risk, medium risk, general).
161 We also computed secondary attack rates (SAR) in the household (SAR_H) and for close contacts
162 (SAR_{CC}), both in symptomatic and asymptomatic cases. SAR_H was defined as the number of
163 contacts who became positive divided by the number of contacts who live in the same place as
164 the positive index case. SAR_{CC} was defined as the number of close contacts who became

165 positive divided by the number of close contacts who were put under quarantine (the contact
166 had an high-risk interaction with the COVID-19 positive case), according to the definition of a
167 close contact used by the CDC¹⁶.

168 To assess the impact of the mass testing program on the epidemic dynamics, we developed an
169 agent-based SEIR model similar to Covasim,¹⁷ tailored to the situation in Luxembourg. The
170 epidemic model was based on detailed information of the social network considering actual
171 household and workplace compositions obtained from the Luxembourg social security system.
172 It also contained a disease module to integrate hospital data. This detailed description allowed
173 for respecting the age distribution of infected people and considering specific activities as well
174 as the resulting infection risks at workplaces (Suppl. Note 2).

175 **Role of the funding source**

176 1) Phase 1 of Large-Scale Testing was mandated by the Luxembourg Government, represented
177 by the Ministry of Higher Education and Research, and the Ministry of Health.

178 2) Representatives of the Ministry of Higher Education and Research, and the Ministry of
179 Health were involved in the design of this study as well as in the collection, analysis, and
180 interpretation of data and in the writing of the report. Both Ministries have approved submission
181 of the manuscript for publication.

182 **Results**

183 **Epidemic context**

184 The first wave of the COVID-19 pandemic touched Luxembourg over the months of March and
185 April 2020, followed by a relatively low number of positive cases from end of April onwards,
186 when lockdown measures were gradually lifted (Figure 1). A second wave started in late June.
187 Although a plateau in the number of positive cases was reached in August, there was another
188 increase in the middle of September when the school vacation period ended (Figure 1). The first
189 phase of the mass screening program ran from 25th May until 15th September 2020 (herein
190 contact tracing data was considered until 24th September). This period coincided with the
191 second epidemic wave.

192 **Design of programme**

193 The testing strategy was designed in an intercalated, 3-layered approach: on a first level, we
194 used estimates of exposure to disease and physical proximity to categorize activity sectors into
195 high and medium risk.^{18,19} Workers in high-risk sectors were invited every two weeks, creating
196 a theoretical mesh-size of one (Figure 2A). On a second level, workers and other members of
197 the population in medium-risk sectors were monitored by inviting one out of five per week such
198 that individuals in medium-risk sectors were at least invited once (Figure 2B). Similarly, one in
199 every ten Luxembourg residents in other sectors or the general population was invited each
200 week to cover an even geographical distribution (Figure 2C). Consequently, a set of
201 representative cross-sectional cohorts were established. These population- and sector-based
202 tracking cohorts proved essential for the early detection of sector- or region-specific infection
203 clusters. On a third level, we reserved sufficient capacity for tactical targeting of specific
204 population groups that revealed increased infection rates in the level 2 monitoring approach. If
205 the incidence in the sector-specific tracking cohorts exceeded 10%, the entire sector was tested;
206 equally, adult members of every household in geographical areas with increased infection rates

207 were invited. In this way, the test mesh sizes were dynamically adjusted to maximize the use of
208 available resources without compromising the efficiency of outbreak prevention (Figure 2C).
209 The established capacity allowed for a maximum test capacity of 10% of residents and cross-
210 border workers per week.

211 **Invitations and participation**

212 The initial sets of invitations were sent out between 25th May and 27th July. The strategy
213 critically depended on participation upon invitation, as the system was entirely based on a
214 voluntary basis. Invitations to residents and cross-border workers were sent out by postal mail
215 (Suppl. Figure 1). Addressees were able to make an appointment via an online portal or by
216 telephone, at one of the 17 test stations strategically distributed around the country. Compliance
217 was very high, as 95% of the individuals who made an appointment were tested. Among the
218 residents, 307,751, i.e. 49% of the population, took part in the mass screening, whereas among
219 the cross-border workers, participation was 22·5% (87,198 individuals). A total of 566,320 tests
220 was performed, which is equivalent to 69·7% of all tests performed between 25th May and 15th
221 September. Participation in the programme differed markedly based on socio-demographic
222 factors (Suppl. Note 3).

223 **Characteristics of cases and contacts**

224 The mass screening uncovered 850 index cases with an additional 249 cases resulting from
225 contact tracing (Table 1). This corresponds to 26% of positive cases related to the epidemic
226 wave. Among the index cases, 567 (67%) reported symptoms at the time of being informed of
227 their positive test result (these may have been presymptomatic at the time of the test), whereas
228 283 (33%) were asymptomatic. Symptomatic cases were slightly older (mean=36·8 years) than
229 asymptomatic individuals (34·2 years, Wilcoxon test $P<0\cdot0001$). Around 53% of all cases were
230 diagnosed in men. rRT-PCR Cq-values were higher in asymptomatic cases (mean Cq=30·2)
231 compared to symptomatic cases (mean Cq=28·9, Wilcoxon test $P<0\cdot0001$).

232 The 850 index cases resulted in 7,909 contacts. After the removal of redundancy, 6,074 were
233 further considered (Figure 3). Each index case resulted in an average of 8.3 contacts (range 1-
234 101) and in a mean of 0.7 (STD 1.1) subsequent positive contacts. The positive index cases
235 with no symptoms were slightly less infectious than the symptomatic individuals (Wilcoxon
236 test, $P < 0.0001$) whereby 0.6 (STD=1) and 0.7 (STD=1.1) positive contacts resulted per index
237 case from asymptomatic and symptomatic cases, respectively. Cases who were asymptomatic
238 the day of the positive result had a similar secondary attack rate in the household as those who
239 were symptomatic ($SAR_H = 0.36$ (STD=0.70) versus $SAR_H = 0.38$ (STD=0.67), $p = 0.26$) but they
240 had a slightly lower SAR for close contacts ($SAR_{CC} = 0.15$ (STD=0.33) versus $SAR_{CC} = 0.20$
241 (STD=0.42) respectively, $p < 0.0001$). Infections were mostly linked to foreign travel (31.4 %),
242 the household setting (23.2 %), or the work environment (20 %).

243 With regards to factors associated with being tested positive (Suppl. Table 1), we observed no
244 difference in relation to sex (OR 0.92 [0.76-1.09] for women compared to men). People who
245 worked in the medium- and high-risk sectors had greater odds for being tested positive (OR
246 1.41 [1.12-1.78] and 2.24 [1.77-2.85], respectively). Individuals with a disposable household
247 income of less than 30k€/year had the highest odds of being tested positive (OR 1.87 [1.53-
248 2.28] when compared to people with 30k€-60k€/year). When compared to people between 30-
249 39 years old, age groups of 20-29 (OR 1.93 [1.39-2.68]), 40-49 (OR 1.77 [1.27-2.46]) and 50-
250 59 (OR 1.46 [1.03-2.08]) had greater odds of being tested positive, whereas no difference was
251 observed for people aged 60-69 (OR 0.86 [0.54-1.38]) or 70-79 (OR 0.53 [0.27-1.04]), and
252 lower odds were observed for extreme age groups, such as individuals ≤ 9 years old (OR 0.35
253 [0.20-0.61]) or ≥ 80 years old (OR 0.37 [0.15-0.91]).

254 **Prevalence in specific sectors and regions**

255 Over the course of the testing of the high- and medium-risk sectors, the prevalence was not
256 evenly distributed according to the assumed risk and period covered (Suppl. Note 4, Suppl.

257 Table 2, Suppl. Figure 3, Suppl. Figure 4). For instance, enrichment in positive cases was
258 observed in the services sector (classified among the general population; 11·4% increase over
259 the mean prevalence), hospitality (high-risk; 8·6%) and the construction sector (medium-risk;
260 6·6%). Moreover, we observed regional differences (33·7% for the canton of Esch-sur-Alzette
261 versus 21·1% for Luxembourg). In addition, the prevalence in individuals from different
262 income categories was not evenly distributed (Suppl. Note 5, Suppl. Figure 5).

263 **Tactical interventions**

264 Sector- and region-specific prevalence was monitored in accordance with the programme
265 design. At the end of April-beginning of May, specific working sectors received invitations as
266 part of the gradual reopening. As it was the first to be reopened, the entire construction sector
267 was invited on 25th May. However, the higher number of tests did not result in higher number
268 of positive cases in that sector (Figure 4). During the subsequent epidemic wave, the prevalence
269 in the construction sector was significantly higher than in the other sectors (as an increase of
270 6·6%, or 1,390 cases per 100,000 inhabitants over the entire period versus 5·7%, or 698 per
271 100,000 on average, in other sectors; this corresponds to an incidence rate ratio (IRR) of 1·99,
272 (95% CI [1.94,2], $P < 0.00001$)). The peak in prevalence was reached on 24th July with 87.7
273 infected individuals in the construction sector per 100,000 inhabitants. Consequently, this
274 sector was again invited for testing. The number of tests increased and showed two more peaks
275 during the weeks starting 27th July and 24th August, the latter corresponding to the end of the
276 collective holidays in this sector. However, by late August the second epidemic wave had
277 peaked, which is reflected in the declining numbers of positive cases identified in the
278 construction sector (Figure 4, Suppl. Figure 6). The services and hospitality sectors also
279 received additional, targeted invitations based on increases in prevalence (Suppl. Note 6).
280 Based on the population-based monitoring, increases in prevalence were observed in the south-
281 west communes belonging to the canton of Esch-sur-Alzette starting in mid-July (Figure 5).

282 Between the 1st July and the 20th July, the prevalence in the canton was 327·1 cases per 100,000
283 inhabitants compared to a mean prevalence of 108·6 cases per 100,000 in all other cantons (IRR
284 3·01, 95% CI [2·98,3·05], $P < 0.00001$). Based on the observation that the majority of
285 transmissions was occurring in households, each household in the high-prevalence communes
286 of the Esch-sur-Alzette canton received an invitation over one week starting on 27th July
287 (36,197 unique invitations with the exception of households already invited as part of targeted
288 sectors such as services and hospitality).

289 **Impact**

290 To assess the impact of the mass screening on the epidemic dynamics, we used an agent-based
291 SEIR model tailored to the situation in Luxembourg in terms of implemented measures and
292 detected cases identified by classical prescription, mass screening and contact tracing (Figure
293 6A). Based on the calibrated model, the effect of the mass screening was quantified²⁰ by
294 comparing the projected number of active cases for the actual situation with 566,320 mass
295 screening tests performed during the period from 1st June until 15th September under a scenario
296 without any mass screening and a setting in which all the 1,436,000 invitations would have
297 been complied with (Figure 6B). The analysis of the amplitude of active cases highlights that,
298 without the implemented mass screening, the peak of active cases would have increased by
299 26·9% and that full participation would have led to a further reduction of 30·5% (Table 2). This
300 positive effect of mass screening is also highlighted by the number of total cases (Figure 6C)
301 which would have been 39·1% higher without the implemented test strategy and full
302 participation would have led to a further reduction of 41·4% (Table 2).

303 We observed that not performing the sector-specific screening moderately increased the
304 amplitude of active cases by 4·3% and the number of total cases by 5·3%. The regional targeting
305 of the high-prevalence communes in the canton of Esch-sur-Alzette during the weeks of 27th
306 July to 7th August increased the number of identified cases by mass screening by 17% in the

307 canton (corresponding to 8·2% on the country level). Without these additional tests, there would
308 have been only a modest increase in total cases (+1·6%) within the whole country and a 4·8%
309 increase in the targeted canton during the period from 27th July to 15th September. Simulations
310 of different starting dates and test numbers for the regional targeting (Figure 6D) reveal that the
311 effect could have been nearly four times greater, had the regional targeting started four weeks
312 earlier.

313 **Discussion**

314 Mass screening for SARS-CoV-2 has been a topic of intense political and societal debate, the
315 rationale for screening asymptomatic individuals having been questioned. In May 2020, the
316 possibility of transmission from asymptomatic carriers was recognised as a possible driver of
317 the pandemic.^{4,5} Appropriate measures, such as mass screening of presymptomatic and
318 asymptomatic individuals, at least in high-risk communities, were recommended.^{4,5}
319 Nevertheless, whether asymptomatic carriers play an important role in population-based
320 transmission has remained an essential question. The mass testing programme implemented in
321 Luxembourg, which coincided with a second epidemic wave, thereby provides a unique test
322 case for assessing the role of asymptomatic carriers and the effectiveness of testing and tracing
323 to break infection chains early on.

324 Our data show that asymptomatic carriers infect on average the same number of people as
325 symptomatics. The assessment is robust, as the information of a positive carrier being symptom-
326 free was recorded by the contact tracing team once a person was informed about their positive
327 test result. Based on the incubation time of a mean of 4-5 days and given that the test results
328 were communicated to participants within two working days of sample collection, it is highly
329 likely that a significant fraction of individuals did not exhibit any symptoms at the time of
330 testing.^{4,21} With the number of asymptomatic carriers estimated to be about 4-fold the number
331 of positively tested symptomatic individuals at the end of the first epidemic wave in
332 Luxembourg,⁸ our data therefore implies that asymptomatic including presymptomatic
333 individuals are an important factor in triggering and sustaining infection chains. This in turn
334 confirms that classical pandemic containment strategies, such as a consequential identification
335 and isolation of symptomatic patients cannot work efficiently for COVID-19.

336 Based on the sector-specific prevalences, the classification into high- and medium-risk sectors
337 proved appropriate for certain sectors (2,384 and 1,022 invitations per positive case for

338 healthcare and social work, and hospitality, respectively) but not for others (2,810 and 9,095
339 invitations for pharmacists and police, for 0 and 1 positive case, respectively). Medium-risk and
340 sectors belonging to the general population which should be reclassified as high-risk include
341 the construction and services sectors. Although recurrent invitations increased participation, the
342 overall compliance within the high-risk sectors varied from 60·8% of invitations being
343 complied with amongst preschool and primary teachers to 27·5% in the hospitality sector.
344 Therefore, in addition to the ability to deploy test capacity to affected work sectors and regions,
345 broader participation and compliance are essential to enhance the effectiveness of mass
346 screening. This may be achieved through tailored incentivisation.

347 Based on our simulations and without the mass screening programme, the number of cases
348 would have increased substantially (39·1%) during the second epidemic wave. Further
349 reductions would have been even more impactful, whereby complete participation would have
350 led to a 41·4 % decrease. A retrospective analysis of sector- and region-specific prevalence
351 highlights that a threshold of 150 positive cases per 100,000 per week should trigger the testing
352 of entire groups (Suppl. Figure 7). A caveat concerns smaller groups whereby these are more
353 prone to noise and, thus, false alarms may be generated. Tactical testing may also be triggered
354 by other early warning signals based on population-level symptomatology or surveillance of
355 wastewater.²²⁻²⁴ Rapid tactical interventions based on regional prevalence is essential for
356 increasing efficiency.

357 Further viral pandemics are to be expected, even within this decade.^{25,26} As the role of
358 asymptomatic carriers in the transmission of SARS-CoV-2 is becoming more and more
359 recognised,^{27,28} population-level containment and mitigation strategies need to take this into
360 account. Luxembourg was successful in quickly setting up and implementing such a mass
361 screening programme, with reliable, high-quality assay material. According to our model, the
362 intensive care unit peak occupancy would have been 45·9 % higher without mass screening,

363 whereas full participation would have resulted in a further reduction of 59.9 %. Initial
364 assessments of the economic impact of the lockdown reveal that the loss in gross domestic
365 product per capita and per month of lockdown is approximately €3,200 per Luxembourg
366 resident.²⁹ The opportunity cost of lockdown is significant compared to the €30 per test.
367 Thereby, the testing of the approximately 635,306 Luxembourg residents and 341,302 cross-
368 border workers represented a public health measure with important socio-economic benefits.
369 As evidenced by the early summer epidemic wave, nascent infection chains were rapidly
370 detected through mass testing, and effective contact tracing was ensured through the closely
371 controlled mesh of interconnected cells.

373 **Ethical aspects**

374 The study was presented to the National Research Ethics Committee of Luxembourg (Comité
375 National d’Ethique de Recherche, CNER) that approved its submission in its current form (ref.
376 1120-218).

377

378 **Contributors**

379 PW designed and supervised the programme, performed data analysis and interpretation, and
380 wrote the manuscript. JZ participated in data analysis and wrote the manuscript. JS contributed
381 to the design and supervision of the programme and contributed to manuscript writing. FG
382 contributed to the design and supervision of the programme and contributed to manuscript
383 writing. LV performed data analysis and interpretation and contributed to manuscript
384 writing. LM performed data analysis and interpretation and contributed to manuscript writing.
385 BR assisted with data cleaning, performed data analysis and interpretation, and contributed to
386 manuscript writing. AA performed the modeling analysis and contributed to manuscript
387 writing. JP performed data cleaning and contributed to manuscript writing. CS performed rRT-
388 PCR validations and contributed to manuscript writing. MO supervised the rRT-PCR
389 validations and contributed to manuscript writing. GF assisted with data cleaning, performed
390 data analysis and interpretation, and contributed to manuscript writing. JM assisted with data
391 cleaning and analysis planning, and manuscript writing. JG performed the modeling analysis
392 and contributed to manuscript writing. AS performed the modeling analysis and contributed to
393 manuscript writing. UN designed and supervised the programme and contributed to manuscript
394 writing.

395

396 **Declaration of interests**

397 All authors report grants from Luxembourg Ministry of Higher Education and Research, and
398 Ministry of Health during the conduct of the study. Dr. Rodrigues reports working for the
399 Ministry of Higher Education and Research as a public servant, during the conduct of the study.
400 Dr. Snoeck reports that Fast Track Diagnostics provided a few SARS-CoV-2 rRT-PCR kits
401 (RUO) free of charge at the time of evaluation of different commercial assays to be procured
402 by the Luxembourg Government in order to do the mass screening intervention in the country.

403

404 **Data sharing**

405 De-identified data can be made available after review of request. The code for the agent-based
406 model used for the impact analysis is available on Gitlab (doi.org/10.17881/q3g1-7a85).

407

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496 **Table 1: Characteristics of cases and contacts identified through mass screening**

Characteristics		With symptoms (N=567)		Without symptoms (N=283)		Total (N=850)	
		N	%	N	%	N	%
Sex	Female	278	49	125	44.2	403	47.4
	Male	289	51	158	55.8	447	52.6
Age (in years)	0-9	12	2.1	26	9.2	38	4.5
	10-19	65	11.5	49	17.3	114	13.4
	20-29	131	23.1	55	19.4	186	21.9
	30-39	120	21.2	43	15.2	163	19.2
	40-49	118	20.8	35	12.4	153	18
	50-59	80	14.1	52	18.4	132	15.5
	≥60	41	7.2	23	8.1	64	7.6
Country of residence	Belgium	16	2.8	10	3.5	26	3.1
	Germany	4	0.7	3	1.1	7	0.8
	France	52	9.2	17	6	69	8.1
	Luxembo urg	495	87.3	253	89.4	748	88
Period (in weeks)	25 – 28	101	17.8	49	17.3	150	17.6
	29	105	18.5	36	12.7	141	16.6
	30	93	16.4	36	12.7	129	15.2
	31	77	13.6	43	15.2	120	14.1
	32 - 33	31	5.5	31	11	62	7.3
	34	24	4.2	8	2.8	32	3.8
	35	22	3.9	13	4.6	35	4.1
	36	43	7.6	9	3.2	52	6.1
	37	54	9.5	21	7.4	75	8.8
	38	17	3	37	13.1	54	6.4
		Mean	STD	Mean	STD	Mean	STD
Age (in years)		36.8	15.3	34.2	18.9	35.9	16.6
rRT-PCR Cq values		28.9	5.3	30.2	5.1	29.3	5.3

498 **Table 2: Impact of mass screening on numbers of cases**

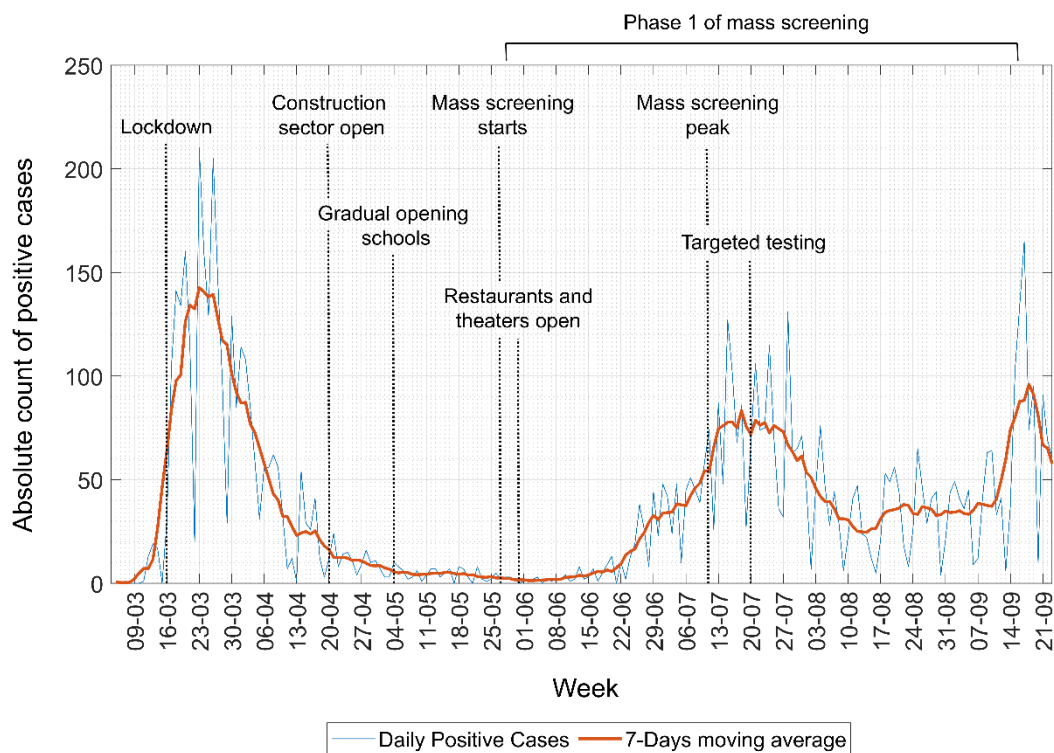
Scenario	Total cases* 25/5-15/09/2020	Peak height (active cases)	Intensive care unit peak occupancy
Actual development	12 395	2803	27·8
No mass screening	17 248 (+39·1% [†])	3557 (+26·9%)	40·6 (+45·9%)
Full participation	7258 (-41·4%)	1949 (-30·5%)	11·2 (-59·9%)
No sector targeting	13 053 (+5·3%)	2924 (+4·3%)	31·5 (+13·2%)
No regional targeting	12 589 (+1·6%)	2803 (0·0%)	28·5 (+2·4%)

499

500 *Total number of cases includes both detected and undetected cases.

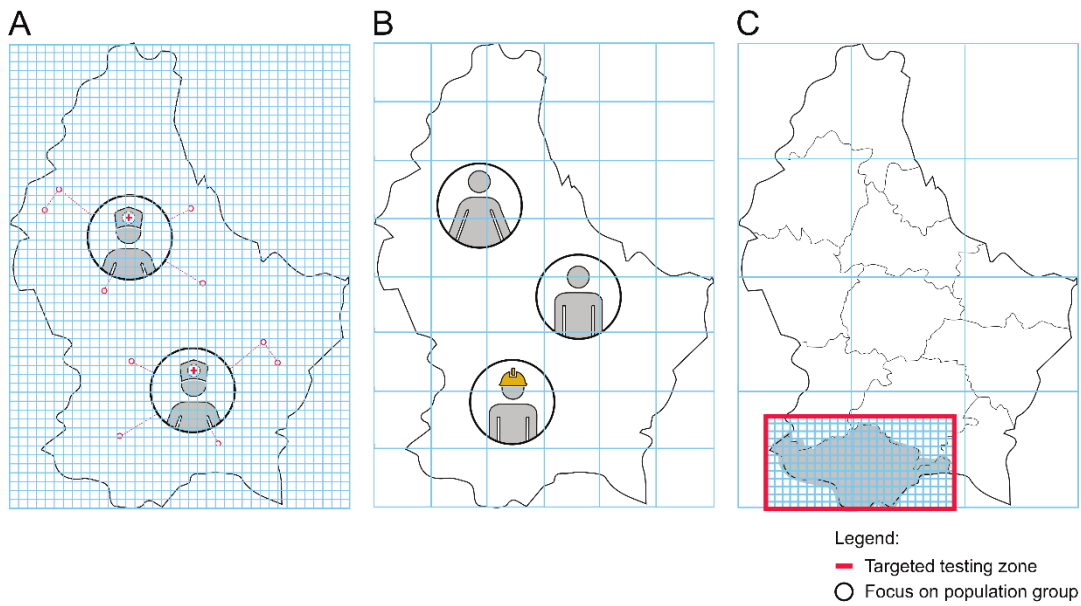
501 [†]Percentages in the table show the difference to the actual development scenario.

502 **Figures**



503

504 **Figure 1: Epidemic curve for COVID-19 in Luxembourg**



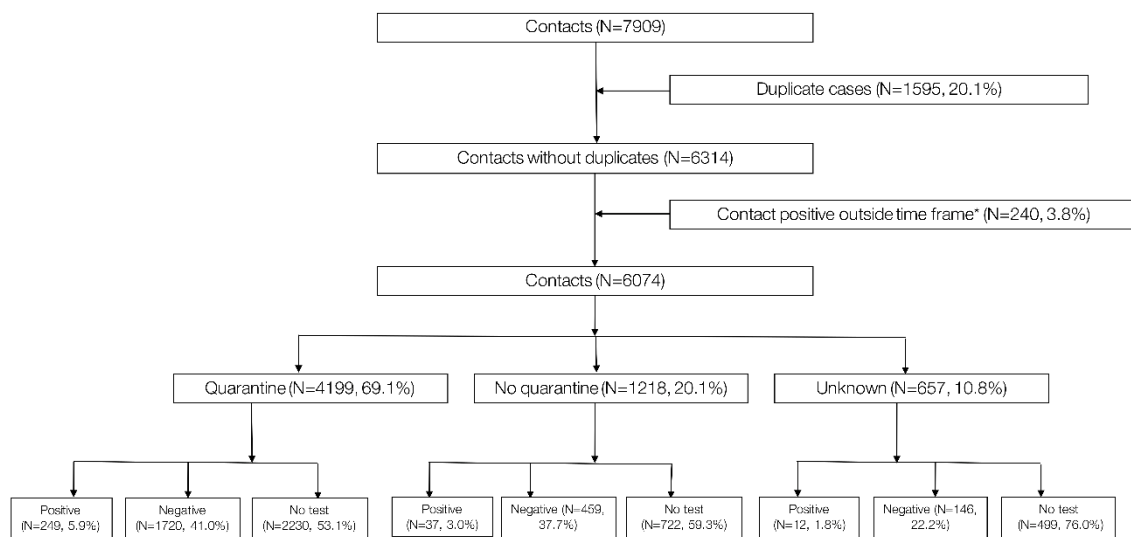
506

507 **Figure 2: Strategic and tactical mass testing**

508 The frequencies of invitations resulted in (A) small mesh sizes for high-risk sectors and (B)

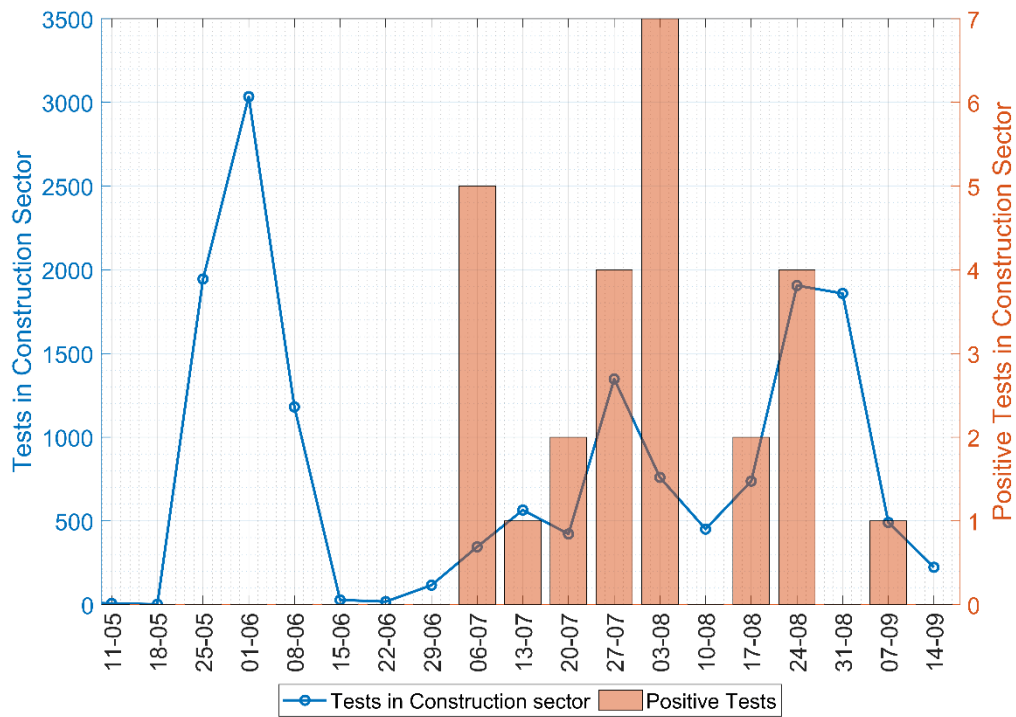
509 larger mesh sizes for medium-risk sectors. (C) The prevalence in the population was monitored

510 and targeted invitations were sent in the case of regional flare-ups.



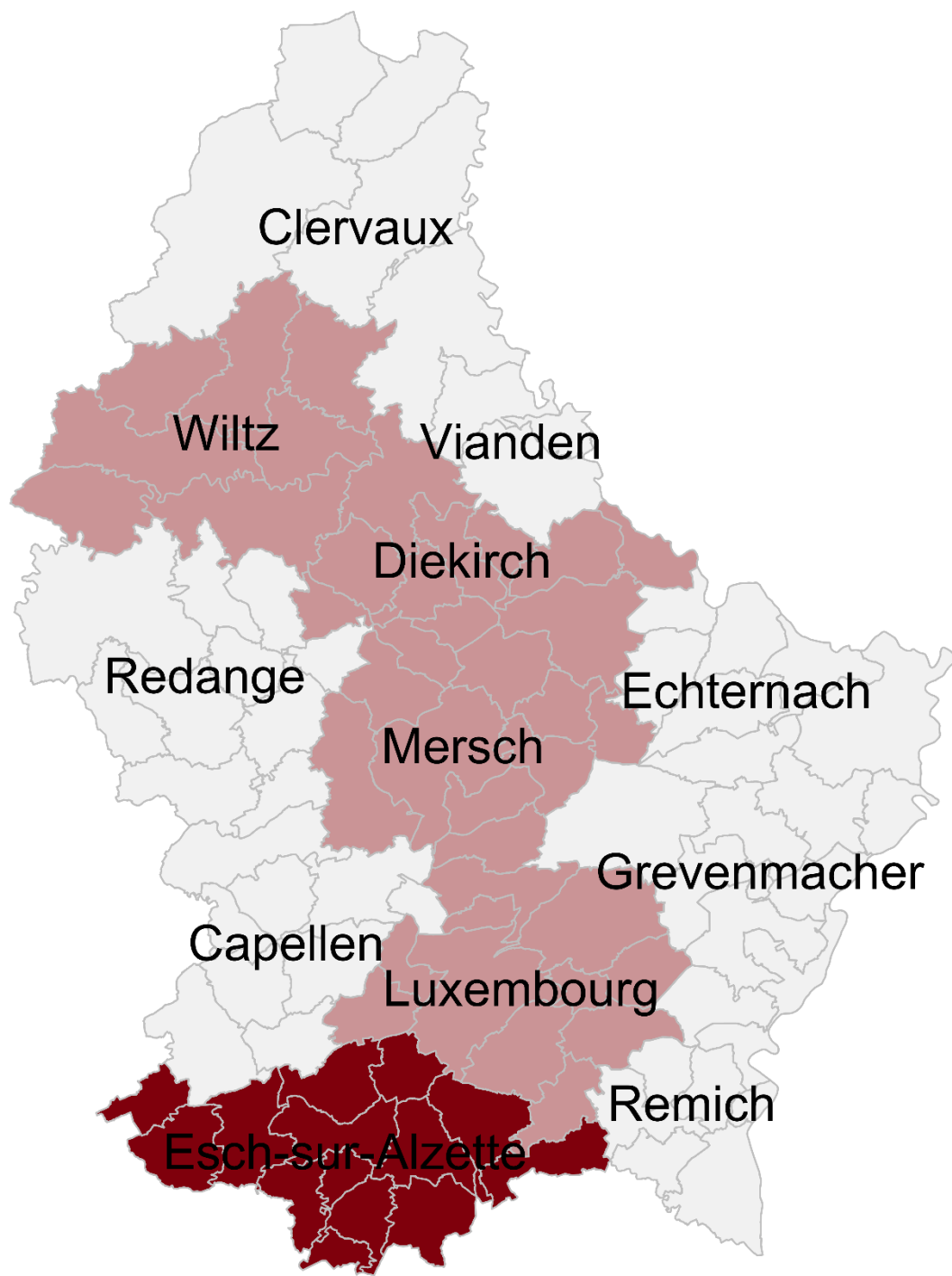
* Contact should be positive between 2 and 14 days after the date of contact with the positive case

511
 512 **Figure 3: Flowchart detailing numbers of contacts resulting from the 850 identified index**
 513 **cases**



514

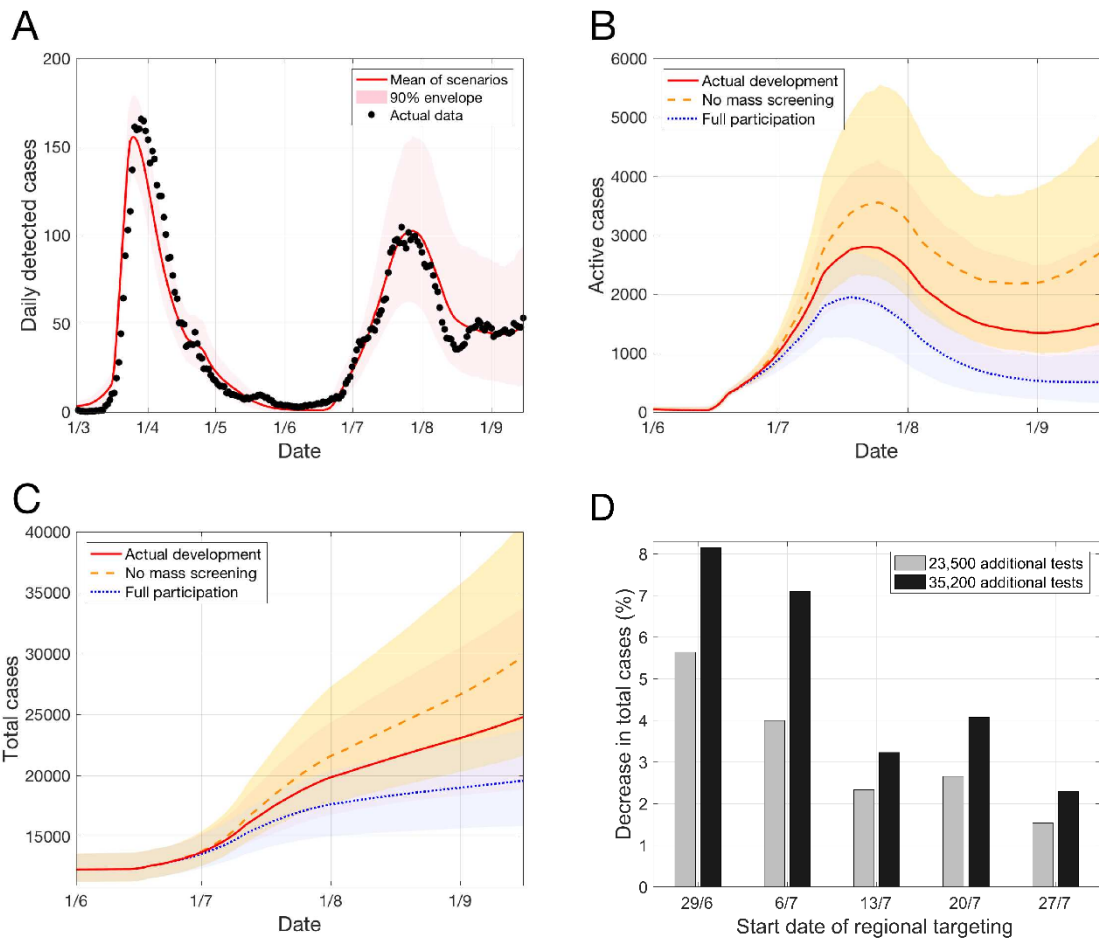
515 **Figure 4: Testing in the construction sector since its reopening**



Less than 1000
 Over 2000
 Between 1000 and 2000

516

517 **Figure 5: Cumulative numbers of cases per 100 000 population, by canton**



518

519 **Figure 6: Impact analysis by agent-based modeling**

520 (A) Daily detected cases from the simulated actual scenario together with 7-day moving average
 521 of true data. (B, C) The second wave in terms of active cases (B) and total cases (C) in the actual
 522 scenario, a scenario without mass screening, and a scenario with full participation. (D) The
 523 effect of trigger date and the numbers of tests in regional targeting in relation to the reduction
 524 in total cases.

Supplementary Material

526

527

528 **Mass Screening for SARS-CoV-2 uncovers significant transmission risk from**
529 **asymptomatic carriers**

530

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552 **Supplementary Table 1: Regression analysis**

553 **The baseline category is:**

- 554 • Man
- 555 • Age 30-39
- 556 • Living in Luxembourg (country and city)
- 557 • In the general population
- 558 • With annual earnings lower than 30k
- 559 • Without any medical flag

560 **For positivity:**

term	estimate	std.error	statistic	p.value
(Intercept)	0.001119	0.187	-36.34	3.663e-289
i_genderWomen	0.9126	0.0919	-0.9949	0.3198
i_ageGroup1020 to 29	1.931	0.167	3.939	8.166e-05
i_ageGroup1040 to 49	1.766	0.1697	3.35	0.0008076
i_ageGroup1050 to 59	1.464	0.1788	2.134	0.03284
i_ageGroup1060 to 69	0.8645	0.2379	-0.6121	0.5405
i_ageGroup1070 to 79	0.5295	0.3407	-1.867	0.06196
i_ageGroup10Less than 9	0.3524	0.2828	-3.688	0.0002264
i_ageGroup10More than 80	0.3746	0.454	-2.163	0.03056
geo1_Germany	0.101	0.6735	-3.404	0.0006632
geo2_Belgium	0.3639	0.5189	-1.948	0.05143
geo3_France	0.6494	0.4715	-0.9157	0.3598
geo4_Other	2.175e-06	165.8	-0.07866	0.9373
geoest	0.3277	0.2391	-4.667	3.056e-06
geonord	0.4567	0.1764	-4.442	8.926e-06
geoOther	0.9225	1.097	-0.07357	0.9414
geosud	1.043	0.1084	0.3868	0.6989
risk_cathigh_risk	2.242	0.122	6.62	3.594e-11
risk_catmedium_risk	1.411	0.1181	2.915	0.003559
wage_category30 to 60k	0.5357	0.102	-6.119	9.425e-10
wage_category60 to 90k	0.206	0.2543	-6.214	5.167e-10
wage_category90k+	0.4407	0.2899	-2.826	0.004713
wage_categorywage_missing	0.3379	0.4548	-2.386	0.01704
medication_use	1.075	0.07132	1.008	0.3134

561

562

563 **For participation:**

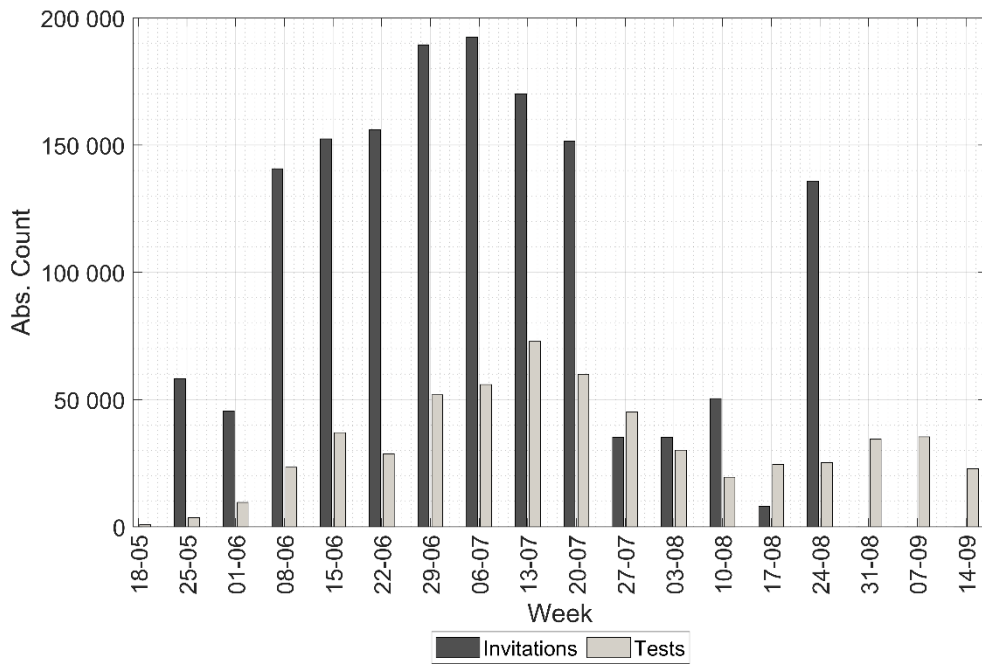
term	estimate	std.error	statistic	p.value
(Intercept)	0.8673	0.01205	-11.82	3.217e-32
i_genderWomen	1.174	0.005218	30.67	1.262e-206
i_ageGroup1020 to 29	0.6915	0.01108	-33.3	4.275e-243
i_ageGroup1040 to 49	1.07	0.01095	6.161	7.247e-10
i_ageGroup1050 to 59	1.024	0.01108	2.12	0.03398
i_ageGroup1060 to 69	0.8923	0.01259	-9.051	1.42e-19
i_ageGroup1070 to 79	0.7137	0.01476	-22.85	1.549e-115
i_ageGroup10Less than 9	0.6536	0.01263	-33.67	1.363e-248
i_ageGroup10More than 80	0.3375	0.01792	-60.6	0
geo1_Germany	0.4433	0.02256	-36.06	8.454e-285
geo2_Belgium	0.4897	0.02231	-32.01	8.534e-225
geo3_France	0.4014	0.02157	-42.32	0
geo4_Other	0.1041	0.1239	-18.26	1.582e-74
geoest	0.9341	0.01002	-6.811	9.69e-12
geonord	0.758	0.009118	-30.38	1.003e-202
geoOther	0.2226	0.1243	-12.08	1.274e-33
geosud	0.9211	0.007219	-11.38	5.436e-30
risk_cathigh_risk	1.89	0.009146	69.62	0
risk_catmedium_risk	1.416	0.007079	49.17	0
wage_category30 to 60k	1.376	0.006954	45.93	0
wage_category60 to 90k	1.872	0.01065	58.9	0
wage_category90k+	1.533	0.0163	26.2	2.777e-151
wage_categorywage_missing	0.8756	0.0207	-6.417	1.391e-10
medication_use	1.048	0.00398	11.84	2.438e-32

564

566 **Supplementary Table 2: Sectors and numbers of individuals per risk categories**

Category	Sector	Number of Individuals
High-risk	Healthcare professionals	27 123
	Army personnel	1064
	Preschool and primary school teachers	7649
	Child care staff	3415
	Personal care professionals	4352
	Police	2494
	Hospitality staff	11 646
	Staff in critical sectors	2398
	Prison staff	445
	Slaughterhouses	622
	First responders	4346
Medium-risk	Construction workers	25 956
	Commerce	13 681
	Pupils in primary schools	51 764
	Pupils in secondary schools	45 013
	Secondary school teachers	5530
	Industry	10 653
General population	Rest of the individuals not part of the above categories.	357 913

567

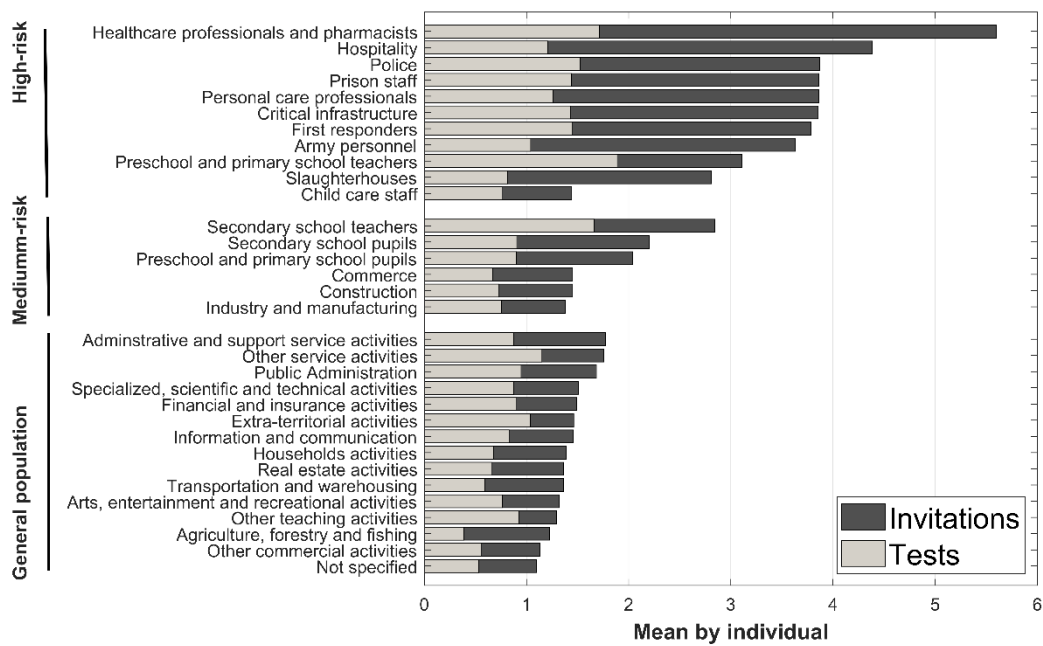


568
569

Supplementary Figure 1: Numbers of postal invitations sent, and tests performed per week

570
571
572
573
574
575

The number of invitations constantly increased from late May to reach its peak at around 200,000 in the week starting on 6th July. There was a clear decrease in the number of invitations from the end of July until the week of 24th August, coinciding with the end of the collective holiday period in the construction sector. Regarding the number of tests performed, it was lower than the number of invitations per week until the week starting on 27th July. The peak of tests was reached with around 75,000 tests performed during the week starting on 13th July which coincided with the start of the school summer vacation period.

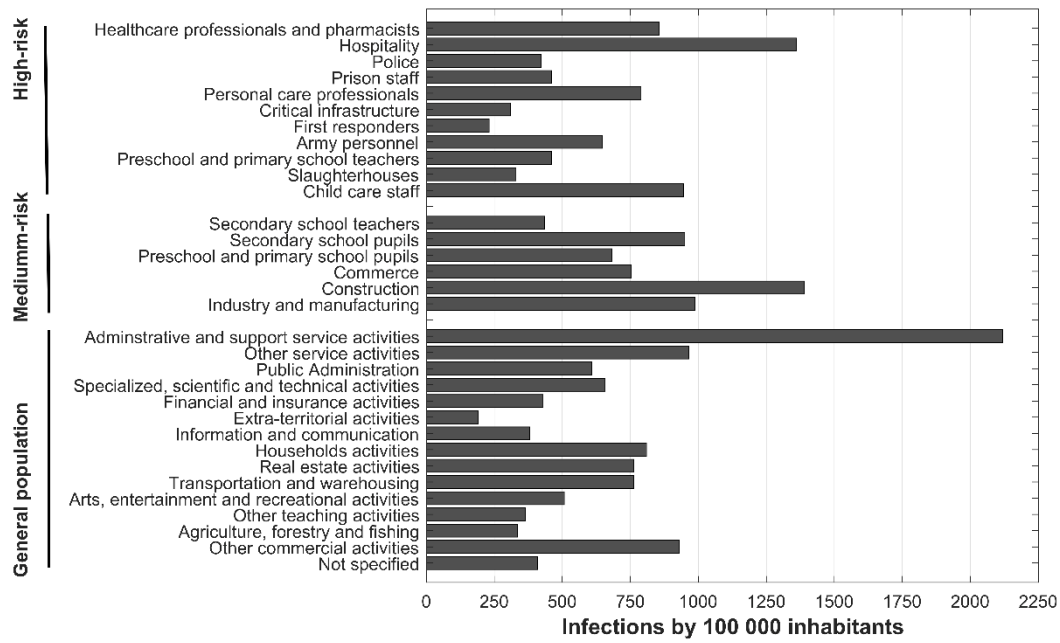


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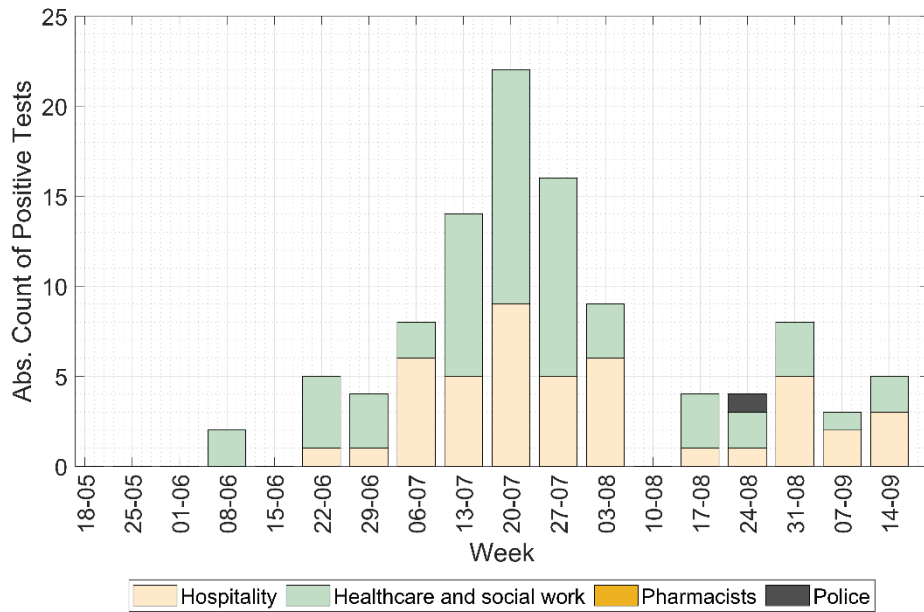
577 **Supplementary Figure 2: Numbers of individual invitations in the different work sectors**

578

Bar intensity represents absolute value, not cumulative. Refer to Suppl. Note 4 for details.

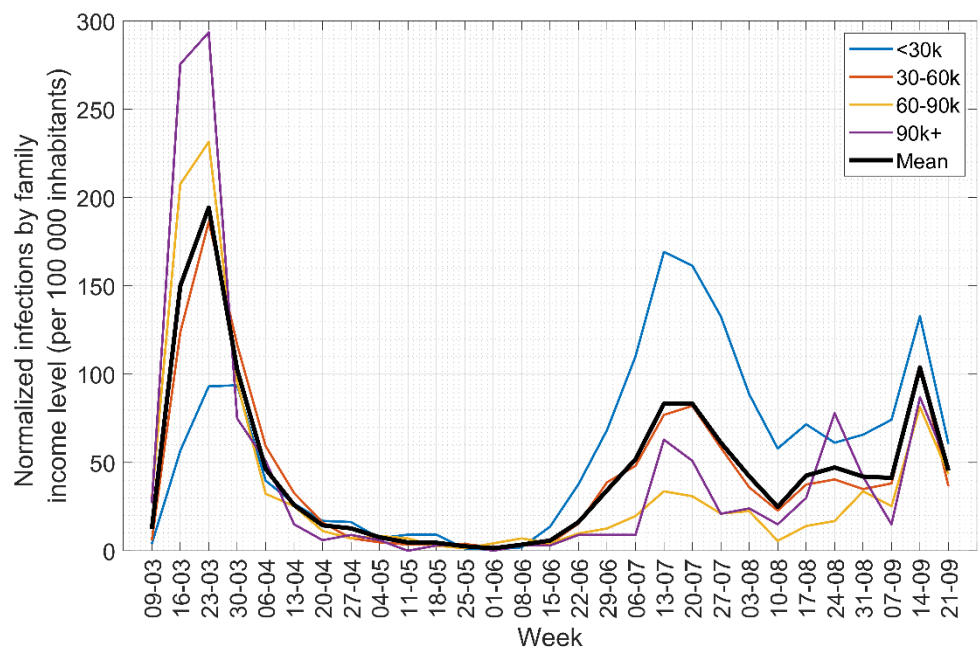


579
580 **Supplementary Figure 3: Prevalence per work sector**

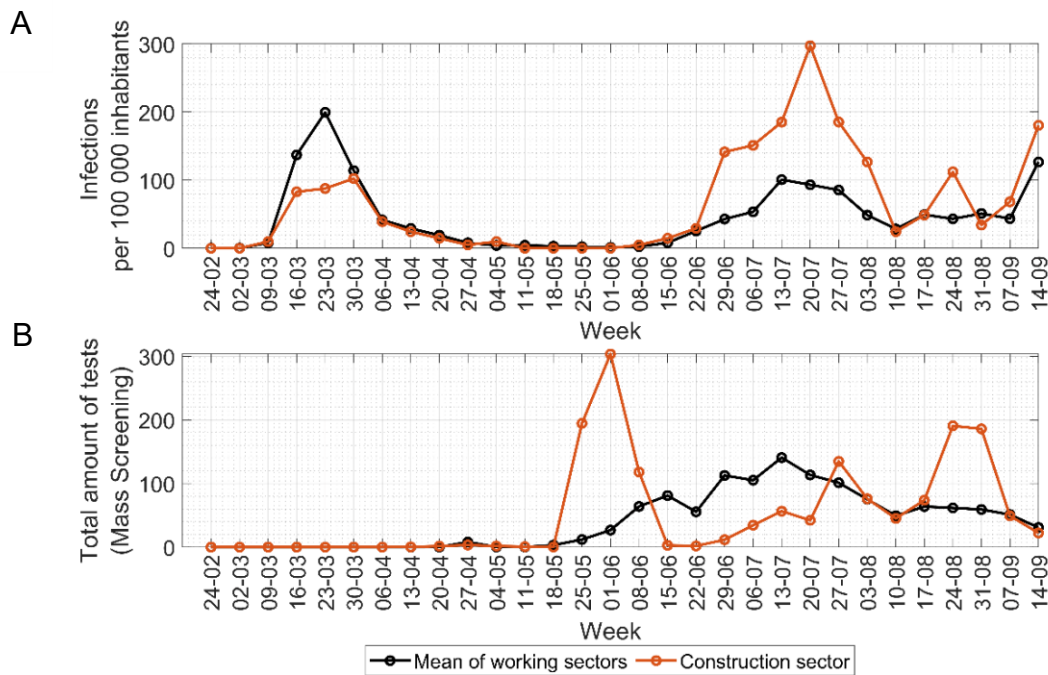


581
 582 **Supplementary Figure 4: Dynamics of positive tests for four high-risk work sectors**

583 While during the early weeks of testing, there were more positive tests in the healthcare and social work sector,
 584 the hospitality sector exhibited increased numbers of positive tests from early July onwards. In contrast, no positive
 585 test result was identified amongst pharmacists and only one positive test was reported for the police.

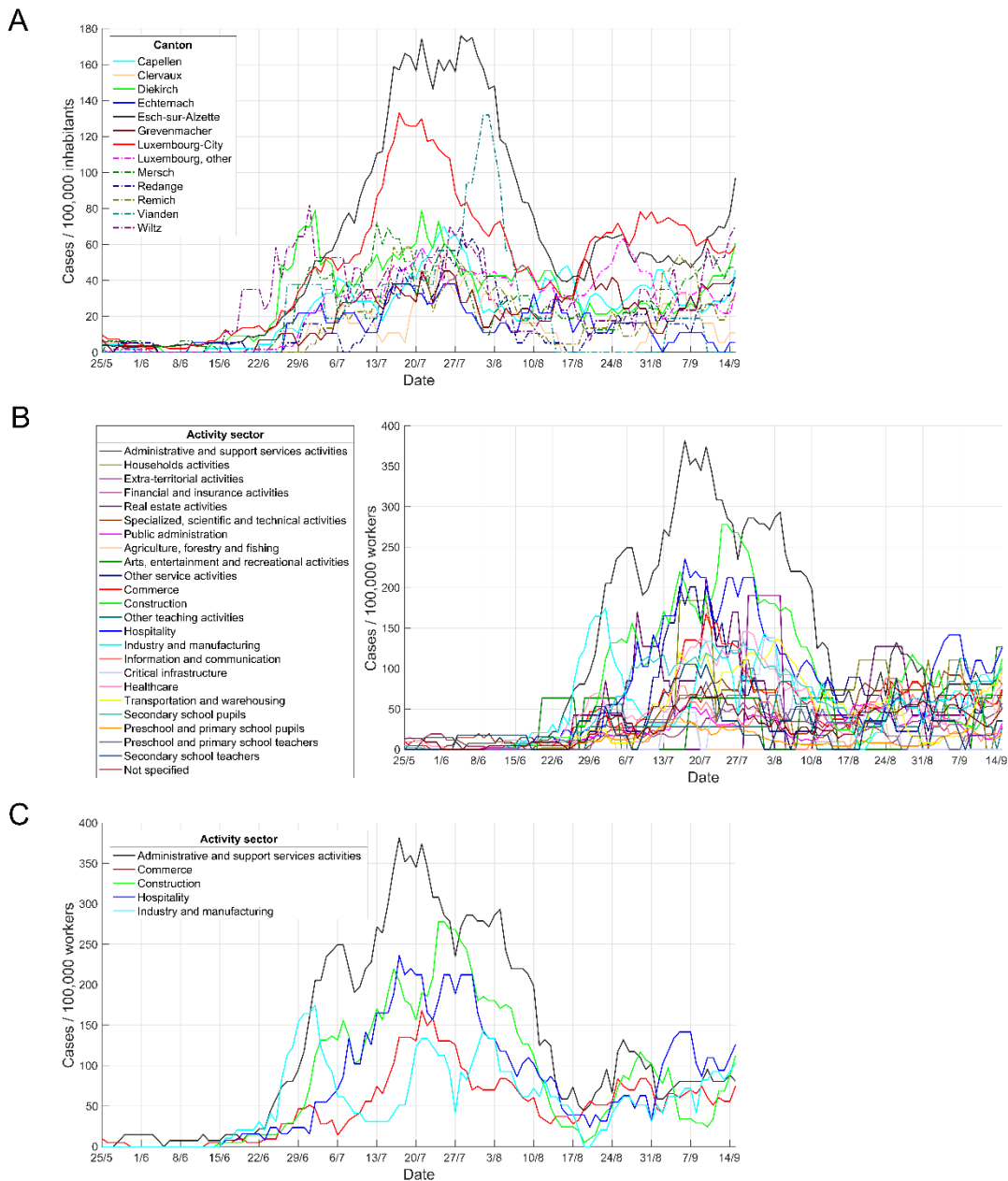


586
587 **Supplementary Figure 5: Prevalence per income category**



588
589
590
591

Supplementary Figure 6: Prevalence and testing in the construction sector (A) Prevalence in the construction sector compared to other work sectors. (B) Number of tests performed in the construction sector in relation to other work sectors.



593

594 **Supplementary Figure 7: Number of cases in the preceding week in different groups**

595

596 (A) Cases in different cantons. A threshold of 150 cases per week per 100,000 inhabitants would have triggered a

597 targeted testing of the canton of Esch-sur-Alzette on 16th July. (B) Cases in different economic sectors. (C) Sectors

598 with more than 5,000 workers that cross the threshold of 150 cases per 100,000 workers. A targeted strategy would

599 have been triggered in industry and manufacturing on 29th June, in administrative and support sector on 1st July,

600 in construction on 7th July, in hospitality on 13th July, and in commerce on 21st July.

602 **Supplementary Notes**

603

604 **1. Quality of the rRT-PCR assay and of the pooling strategy**

605

606 The Fast Track Diagnostics™ (FTD) assay had previously been compared with the generic test N gene
607 (CDC) rRT-PCR and with the commercially available Allplex™ 2019 n-CoV Assay from Seegene Inc.
608 (Seoul, South Korea). The FTD assay was found to have a better analytical sensitivity (limit of detection
609 of the generic N gene assay: 2 RNA copies/reaction, versus below 4 RNA copies/reaction for the Seegene
610 Inc. assay, using the EDX SARS-CoV-2 standard (Exact Diagnostics, Fort Worth, Texas, USA).

611 The sensitivity and specificity of the pooling strategy, by comparing pools and unique samples tested
612 with the FTD assay reached 100% (30/30) and 100% (20/20), respectively. Excellent correlation
613 ($R^2=0.994$) and only a slight shift of Cq values were observed with an average ΔCq of 0.6 ± 0.6
614 between single sample (Cq range: 17.6-36.5) and pooled samples (Cq range: 18.3-37.8).

615

616 **2. Parameters of the Model**

617

618 The model was parametrized based on the different lockdown measures in place and fitted to the identified
619 cases as well as to hospital data. In particular, the baseline scenario describing the actual epidemic
620 development including interventions by mass testing, closing economic sectors, and restrictions on private
621 gatherings. The mass testing and contact tracing parameters were based on the number of performed tests
622 and tuned to the numbers of cases identified by mass screening and subsequent contact tracing. To
623 quantify the overall effect of mass testing, the baseline scenario was simulated with and without mass
624 testing as well as for diverse strategy adaptations, where average values and 90% confidence intervals
625 were obtained from 120 individual stochastic simulations for each scenario.

626

627 **3. Demographic factors linked to participation**

628

629 Based on the multi-variable logistic regression model for participation in mass screening, women had a
630 17% greater likelihood to participate in mass screening than men (odds ratio [95% confidence interval],
631 OR 1.16 [1.15-1.17]). When compared to the age category 30-39 years, all the other age groups tended
632 to participate less, with extreme age categories having the lowest ORs: ≤ 9 years OR 0.68 [0.67-0.70],
633 70-79 years OR 0.78 [0.76-0.80], and 80 years or more OR 0.37 [0.35-0.38]. Individuals with a
634 disposable household income of less than 30k€/year participated less in mass testing (OR 0.71 [0.70-
635 0.72], when compared to those with 30k€-60k€/year). Finally, we observed that each additional invitation
636 sent to the population resulted in a 20% greater likelihood of participation in the mass screening
637 programme OR 1.20 [1.19-1.20].

638

639 **4. Invitations and participation per sectors**

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641 As per our design, workers in high-risk sectors such as healthcare and social work, hospitality,
642 pharmacists and the police were invited more frequently than the other work sectors. In addition, water
643 production and distribution sector, electricity production and distribution sector, public administration,
644 and other services, received more than two invitations per individual. The other sectors exhibited an
645 average of one invitation per individual (Suppl. Figure 2). The numbers of invitations sent out did
646 translate into higher numbers of individuals being tested (Suppl. Figure 2). Healthcare and social workers
647 had a high mean number of tests performed per individual (on average 1.7 tests performed per individual
648 versus 0.9 for all work sectors). However, preschool and primary school teachers had the highest number
649 of tests per individual (1.9 tests on average), despite not having been invited the most. Secondary school
650 teachers rank after healthcare and social workers with 1.7 tests on average per individual. Workers from
651 the hospitality sector exhibited fewer tests per individual than the other high-risk sectors (1.2 tests
652 performed per individual versus 1.3 for the other high-risk sectors). Overall, participation of individuals
653 related to teaching activities were among the highest, together with extra-territorial and other services
654 activities. Participation for preschool and primary school teachers, secondary school teachers and other
655 teaching activities was on average 61%, 58% and 72% per individual (ranked 4th, 5th and 1st), respectively.
656 The extra-territorial and other services activities sectors exhibited an average of 71% and 65% of
657 participation per individual (ranked 2nd and 3rd, respectively).

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659 **5. Prevalence per income categories**

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Individuals with a higher income had an overall higher prevalence during the first epidemic wave in March and April (Suppl. Figure 5). This contrasts with the second wave, for which the highest prevalence was seen in individuals from the lowest income category while the higher income category ranks below the prevalence of the general population (Suppl. Figure 5). Nevertheless, there was a small peak for the highest income category during the week starting on 24th August, most likely due to the return from summer holidays, as the prevalence within this category was then above that of the general population.

6. Tactical interventions

On 25th May, the services sector received 0.4 invitations per individual. Sustained waves of invitations were sent over the course of June and July following the increase in prevalence, reaching a total of 1.8 invitations per individual (Suppl. Figure 2). Between May 25 and September 15, the prevalence in the service activities sectors was significantly higher than in other sectors with 964.8 cases per 100,000 inhabitants (IRR 1.38, 95% CI [1.37,1.40], $P < 0.00001$), and reached a daily peak of 67 infected individuals per 100,000 inhabitants. It should be noted, however, that the service sector in Luxembourg represents 0.6% of the working population (2,000 inhabitants), and hence likely subject to stochastic fluctuations in positive cases. Participation in this sector was significantly above average (65.7%), as compared to the average participation across all other sectors (47.2%; Suppl. Figure 2).

On 2nd June, the hospitality sector received 0.8 invitations per individual. Sustained waves of invitations were sent over the course of June and July following the increase of prevalence, reaching a total of 4.4 invitations per individual (Suppl. Figure 2). Between May 25 and September 15, prevalence in the hospitality sector was significantly higher than in other sectors with 1,361 cases per 100,000 inhabitants (IRR 1.94 [1.93,1.97], $P < 0.00001$), and reached a daily peak of 70.7 infected individuals per 100,000 inhabitants in this activity sector. Participation, however, remained low (27.5%) as compared to the average sector participation (47.3%; Suppl. Figure 2).